

**Healthcare Compliance**  
Regulatory and Legal Health Care Compliance Expert

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24 years of experience in criminal investigations, regulatory and criminal healthcare investigations, medical standards of care investigations, scope of medical practice investigations, healthcare regulatory and legal compliance, healthcare fraud investigations, public speaking, expert testimony, healthcare management, conflict resolution, drug diversion investigations, and drug diversion education.

**Demonstrated success record in:**

- Successful investigation and prosecution of licensed health care professionals.
- Successful investigation and administrative action of licensed healthcare professionals for standards of care, regulatory compliance, and administrative law violations.
- Successful assistance in Regulatory and Criminal Defense of Licensed Healthcare Professionals.
- Experienced with identifying, preventing, and deterring healthcare drug diversion and fraud.
- Dedication to drug diversion education for health care professionals.
- Proven record of healthcare regulatory compliance excellence.

**CORE COMPETENCIES**

- Criminal/Administrative Investigations
  - Regulatory Compliance
  - Pharmacy Investigations
  - Drug Diversion Education
  - Drug Diversion Investigations
  - Expert Testimony
  - Healthcare Fraud Investigations
  - Witness/Suspect Interviewing
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**HIGHLIGHTED CAREER ACHIEVEMENTS**

- 5+ years' experience as Director of Compliance for large pain management offices, national telehealth company, suboxone clinic, national chronic care management company, intensive outpatient treatment facility, and healthcare corporation.
- 9+ years' experience as a Police Detective focusing on Criminal Drug Crimes.
- 10+ years' experience as a Medical Board Enforcement Investigator focusing on Controlled Substance Prescribing Investigations, healthcare fraud, pharmacy investigations, prescriber education, and scope of practice investigations.
- Earned National recognition as a Certified Medical Board Investigator "C.M.B.I." from the National Federation of Medical Boards.
- Investigated over 1000 licensed healthcare professionals for Drug Trafficking, Standards of Care Violations, Healthcare Fraud, Medical Malpractice, Sexual Conduct Crimes, and other violations of Federal, State, and Administrative Rules and Laws.
- Conducted thousands of suspects, healthcare providers, patients, and witness interviews as a Police Detective, State Medical Board Investigator, Director of Compliance, and legal defense investigator.
- Audited thousands of pages of medical and healthcare-related records for standards of care, proper documentation, and regulatory compliance both as a State and defense investigator.

- Testified in hundreds of cases at Federal, State, Local, and Administrative Hearings both on the side of the Prosecution and the Defense.
- Developed and Presented hundreds of PowerPoint Presentations to Healthcare Professionals on Compliance, standards of care, and identifying and deterring aberrant behaviors as a Government Investigator and private healthcare compliance specialist.
- Staples, M & Practice Shields, LLC. “Comprehensive Controlled Substance Prescribing in the age of increased regulations, legal challenges, and diversion.” Presented in conjunction with West Virginia Interventional Pain Physicians accredited with Commendation from the West Virginia State Medical Association for 17.25 Category 1 CME. February 2019
- Staples, M. & Harding, K “APRNs and Schedule II Prescribing in Ohio.” Presented in conjunction with the Ohio Board of Nursing. Available for 6 Hours of Continuing Education Credit. Ohio Board of Nursing, Columbus, Ohio. March 31, 2014.
- Staples, M., Harding, K, & Galante, C. “APRNs and Schedule II Prescribing in Ohio.” Presented in conjunction with the Ohio Board of Nursing and Ohio State Board of Pharmacy. Available for 6 Hours of Continuing Education Credit. Ohio Board of Nursing, Columbus, Ohio. November 25, 2013.
- Staples, M. “It Takes an Office: Protecting your Practice from Drug Diversion.” Mercy Health Systems CME Event, June 15, 2015. <https://youtu.be/tiFrhirb93M>
- Staples, M. “The Importance of Compliance and the Pitfalls That Pain Physicians Should Watch For; Including Ancillary Services” The Business of Pain Medicine Conference CME Event, October 21, 2023.
- Former Director of Education and Training for the Ohio Chapter of NADDI.
- Certified as a National Certified Investigator and Inspector Training (NCIT) both basic and specialized. Known as CLEAR Certification.
- State of Ohio License to sell insurance- Accident, Health (Including Medicare), and Life insurance. Licensed March 26<sup>th</sup>, 2019. The license is currently held in Escrow.
- Staples, M & Ronald Chapman II “How to prepare and respond to state and DEA inspections and Medicaid audits” Florida Pharmacy Association, available for .15 CEU, September 12, 2021
- Expert Report Admitted Cuyahoga County Common Pleas Court, State of Ohio, Case- CR-16607224A-D State of Ohio vs. Rogaciano Trocio, MD, Maria Siwik, RN, Virginia Paulino, and Janet Paulino
- Admitted as an Expert after Daubert Hearing by the court but did not testify in the case of United States v. Gilbert Ghearing, MD (2:19-cr-00010) District Court, M.D. Tennessee.
- Admitted as an Expert and testified in the matter of United States v. Loey Kousa, MD (7:22-cr-008-REW-EBA) UNITED STATES DISTRICT COURT EASTERN DISTRICT OF KENTUCKY SOUTHERN DIVISION LONDON
- Admitted as an Expert and testified in the matter of United States v. David A. Litwin (2:11-cr-00347-KJD-CWH) UNITED STATES DISTRICT COURT DISTRICT OF NEVADA.

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## PROFESSIONAL EXPERIENCE

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### **Chapman Consulting Group/ CCG Healthcare, LLC** 2021-Present

Troy, Michigan

*Managing Consultant*

- \*Assist healthcare clients with establishing and maintaining compliance plans
- \*Educate healthcare clients and their staff on healthcare regulatory and legal compliance
- \*Audit healthcare practices and businesses for standards of care and compliance with local, state, and federal rules and laws.
- \*Train and manage other compliance specialists for the company
- \*Audit and inspect healthcare-related records
- \*Conduct defense investigations on allegations of healthcare fraud, drug trafficking, and drug diversion.
- \*Review and assist with establishing sound compliance policies and procedures related to healthcare.
- \*Currently serve as the Compliance Officer for the following healthcare business and practices:
  1. Suboxone Treatment facility in the State of Tennessee
  2. National Telehealth company specializing in erectile dysfunction medications and testosterone.
  3. National Chronic Care Management Corporation
  4. Intensive Outpatient Treatment Facility in the State of Arizona
  5. Healthcare Corporation with multiple healthcare businesses in the State of Texas
  6. Pain Management Clinic in Oklahoma

### **Interventional Pain Specialists, LLC** 2019-2022

Crestview Hills, Kentucky

*Director of Compliance*

- \*Regulatory and Legal Compliance
- \*Medical chart reviews and compliance
- \*Drug Inventory Auditor
- \*Staff Education
- \*HIPPA Compliance Officer

### **Cincinnati Pain Physicians, LLC** 2017 – 2019

Cincinnati, Ohio

*Director of Compliance*

- \*Regulatory and Legal Compliance Management
- \*Identification of Drug Diversion in the patient population
- \*Medical Chart reviews and compliance
- \*Staff education
- \*Prevention of Drug Diversion in practice

**Practice Shields, LLC**

Fairfield, Ohio

2017 – 2021

*Founder and Owner*

- \*Dedication to ensuring regulatory compliance for health care practices and prescribers
- \* Health Care Practice and Prescriber CME Education
- \*D.R.A.P. Accreditation Program (Drug Resistant Accredited Practice)
- \*Practice and Business compliance audits and plan formulation.
- \*Practice and Drug Diversion Defense Techniques

**State Medical Board of Ohio**

2007 – 2017

Columbus, Ohio

*Enforcement Investigator*

- \*Investigations of Ohio Regulatory and Criminal Rules and Laws
- \*Prescriber Controlled Substance Education
- \*Drug Diversion Investigations
- \*Healthcare Fraud Investigations
- \*CME Diversion program development and implementation
- \*Member of drug abuse and pharmacy diversion task forces

**City of Monroe Police Department**

1998 – 2007

Monroe, Ohio

*Police Detective*

- \*Criminal Drug Investigations, including pharmaceutical drug investigations.
- \*Theft and Fraud Investigations
- \* Warren County Drug Task Force Participant
- \*CVSA Lie Detection Operator
- \*Butler County Child Abuse Task Force Member
- \*Established a strict reporting system for allegations of stolen controlled medications

**EDUCATION and CERTIFICATION**

Certified Medical Board Investigator (CMBI)

Basic and Specialized CLEAR Certification

OSU-Newark, Criminal Justice

Central Ohio Technical College, Criminal Justice

UC-Clermont Pre-Law

1995 Butler Tech Police Academy, Police Certification 1998